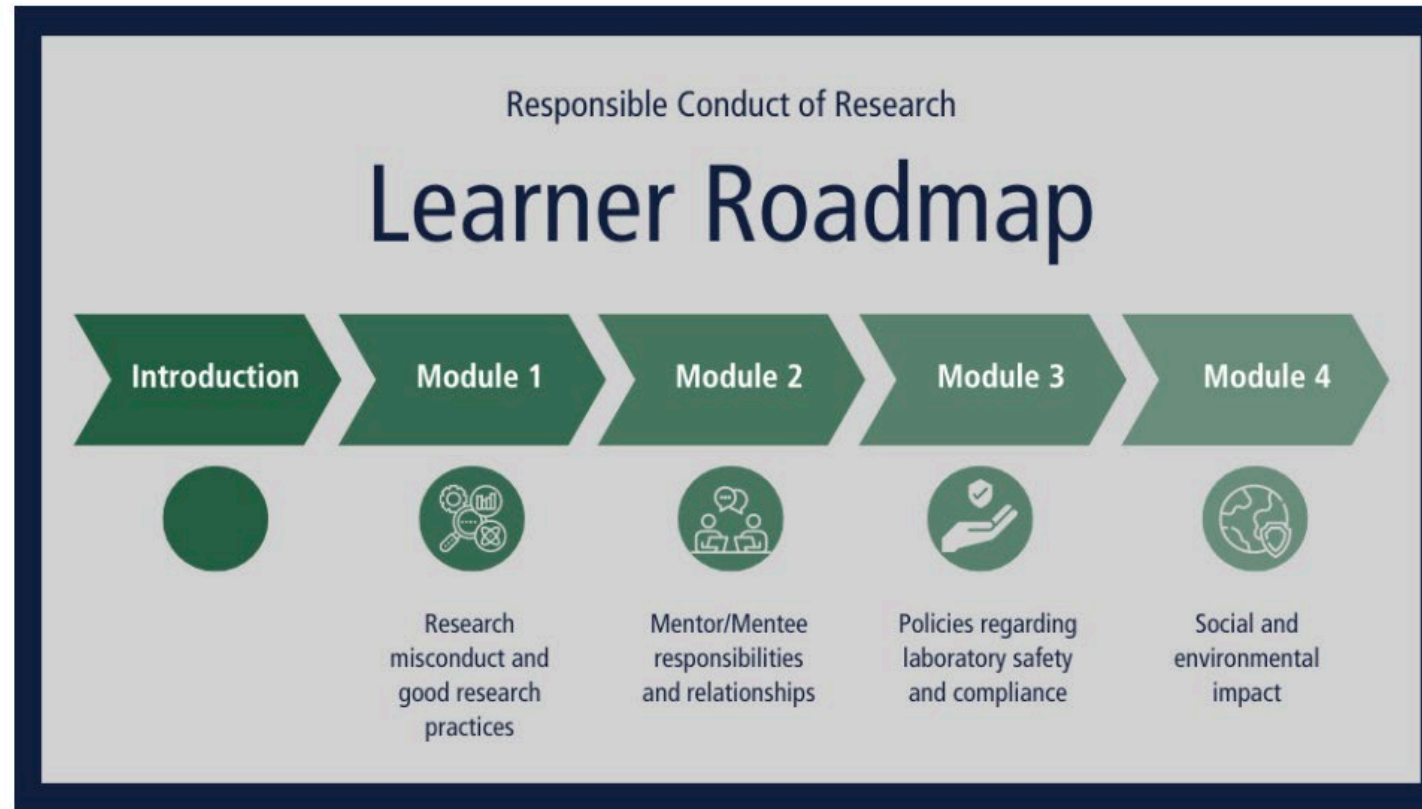# VPRS Administrative Updates

May 2023

Agenda

- Responsible Conduct of Research (RCR) Training
- Advanced Accounts: When to Request & What is the Risk
- RPPR
- MCA in the Agreement Tab
- NSF Biographical Sketch & Current & Pending Changes
- Monitoring Payments & Spending at Risk
- Salary Cap Automation
- Effort
- Corporate & Foundation Relations Grant Opportunity Database
- Spring 2024 Research Community Forum

Responsible Conduct of Research (RCR) Training

Dr. Sari Izenwasser
Professor, Department of Psychiatry & Behavioral Sciences

Responsible Conduct of Research (RCR) Training

UNIVERSITY OF MIAMI

Responsible Conduct of Research

Learner Roadmap

Introduction	Module 1	Module 2	Module 3	Module 4
	Research misconduct and good research practices	Mentor/Mentee responsibilities and relationships	Policies regarding laboratory safety and compliance	Social and environmental impact

This is a broad overview of RCR. There will be additional educational and training opportunities for individual programs and departments that closely relate to the research taking place.

Responsible Conduct of Research (RCR) Training

Module 1

Learning Objectives:

- Learn about research misconduct and research practices
- Learn the importance of scientific rigor and reproducibility i.e., data acquisition, record-keeping, retention, ownership, analysis, interpretation, security, and ethics
- Learn how to properly manage data
- Review collaborative research protocols, including international collaborations

Responsible Conduct of Research (RCR) Training

Module 2

Learning Objectives

- Mentor/mentee responsibilities and relationships
- Safe research environments and civility, including but not limited to, discriminatory harassment, bullying, and inappropriate behavior
- Responsible authorship and publication
- Peer review – confidentiality and security
- Conflict of interest

Responsible Conduct of Research (RCR) Training

Module 3

Learning Objectives:

- Learn about policies regarding laboratory safety
- Review biosafety measures
- Learn about how to properly conduct experiments with human and animal research subjects

RCR Overview Rollout

Phase 1

All undergraduate/graduate students, postdocs, faculty with federal training grants or NSF grants

- Institutional Research Training Grants
- Individual Fellowship Awards
- Career Development Awards
- Research Education Grants
- Dissertation Research Grants
- Certain other programs with a training requirement

All faculty that mentor those students and postdocs

Phase 2

All doctoral students

Master's students involved in research

All faculty involved in research

Phase 3

Undergraduate students and staff involved in research (documented by Faculty PI)

elevate.miami.edu

Advanced Accounts: When to Request & What is the Risk

Laura Kozma
Associate Vice President
Research Administration

Advanced Accounts: When to Request & What is the Risk

Why Advance Accounts are a good idea:
- Eliminate cost transfers and PAAs
- Clear compliance and COI
- Reduce account setup delays

When not to request Advance Accounts:
- Clinical trials
- No clear start date and 90 pre-award not allowed
- Challenges with contract negotiation/new sponsor

Advanced Accounts: When to Request & What is the Risk

What are the risks

- Department responsible for all unallowable costs
  - Costs prior to start date
  - All costs if award not received
  - Costs determined unallowable by sponsor

Best practices and reminders

- Be very careful about spending beyond what you would have incurred on a PG
- Advanced accounts take the same amount of time as regular accounts as they go through the same compliance review
- Departments bear full responsibility for advance accounts
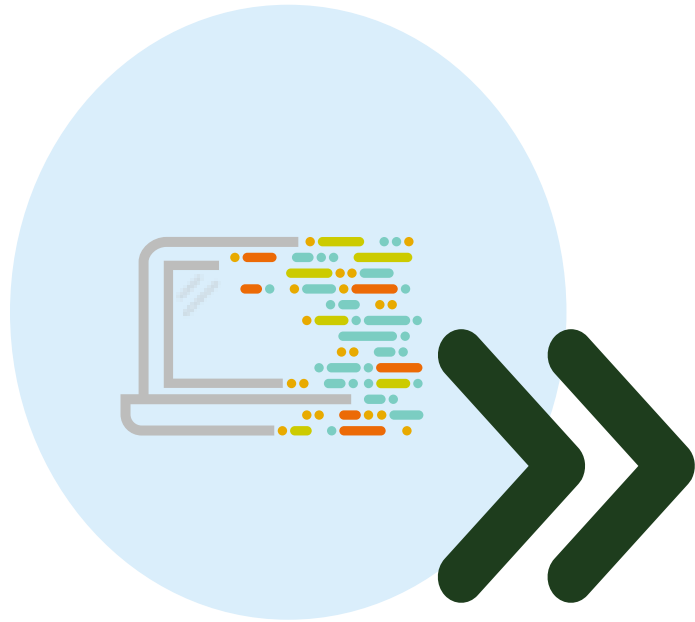
RPPR

Brandon Strickland
Executive Director
Research Administration

RPPR

- ORA ensures that the submission of RPPR will occur within 3 days upon receipt.
- To ensure timely submission, please submit your RPPR at least 3 days before the deadline.
- There are no exceptions or alternatives available for the submission process
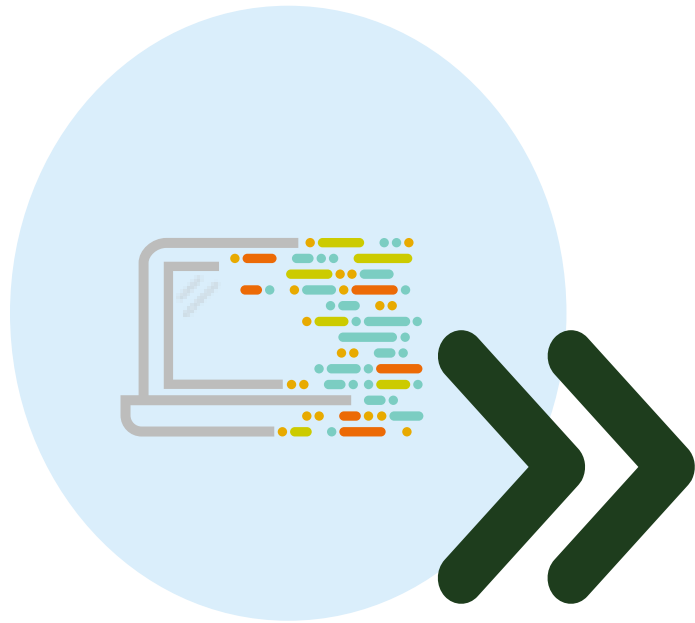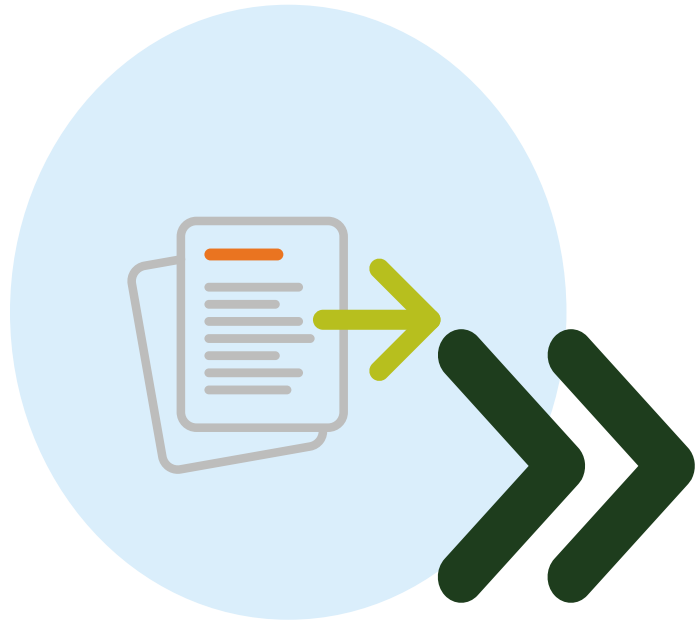
MCA in the Agreement Tab

Brandon Strickland
Executive Director
Research Administration

MCA In The Agreement Tab

- The effective date of implementation is June 5th.
- This new policy will ensure consistency across all projects that require a MCA.
- The process for submitting Clinical Trial Agreements to ORA will remain unaffected by this change.
- To access MCA and budget details, it will be necessary to refer to the associated object.

NSF Biographical Sketch and Current and Pending

Brandon Strickland
Executive Director
Research Administration

NSF Biographical Sketch and Current and Pending

NSF Biographical Sketch and Current and Pending

- NSF requires a biographical sketch for each individual identified as senior personnel. See **PAPPG Chapter II.D.2.h(i)** for complete coverage on the content and formatting requirements for the biographical sketch

» I Refer to **PAPPG II.D.2.h(i)** for the full biographical sketch requirements. A brief outline of the information you will need to include is provided below.

  » Identifying information.
  » Organization and location.
  » Professional preparation.
  » Appointments and positions.
  » Products.
  » Synergistic activities.

» SciENcv *strongly encouraged now; **required beginning October 23, 2023***

NSF Biographical Sketch and Current and Pending

PAPPG (NSF 23-1) Revisions: Biographical Sketch and Current and Pending (Other) Support

- Certification Requirements
  - Senior personnel must certify that the information is accurate, current and complete.
  - In SciENcv the certification is completed upon download of the document. The certification and timestamp is included on the PDF.
  - For the fillable forms, the senior personnel must type their name and date in the form. The certification is printed on the form.

NSF Biographical Sketch and Current and Pending

- Proposal Submission
  - Collaborators and Other Affiliations, Biographical Sketch, and Current and Pending (Other) Support must be submitted.

- Pre-Award
  - Updated Current and Pending (Other) Support may be requested by the NSF program officer and must be submitted for senior personnel before an award can be made.

- Post-Award
  - If the AOR discovers that a disclosure should have been submitted at the time of proposal submission, but was not, they have 30 days to submit a post-award request to NSF.

- Project Reports
  - PIs and co-PIs must specify whether new, active other support has been received in their annual and final project reports. If yes, they must include updated Current and Pending (Other) Support information.

NSF Disclosure Requirement

- *Proposal & Award Policies & Procedures Guide (PAPPG) (NSF 23-1)*
- Effective for proposals submitted on or after January 30, 2023
- Must disclose <u>*venture or other capital financings*</u>
- Qualtrics survey will be completed by all investigators submitting NSF proposals
  - o Y/N responses
  - o <u>*No identifying information will be collected*</u>

- RA has access to a spreadsheet listing names of the <mark>147</mark> investigators who have completed the survey
- <u>*If not completed*</u>:
  - o NSF proposal cannot be submitted
  - o funds cannot be released
- **Follow this link to the Survey:**
  https://umiami.qualtrics.com/jfe/form/SV_6QJ9O6WaLSVmwIK or copy and paste the URL below into your internet browser.

Questions? Call the UDisclose Help Desk 305-243-0877
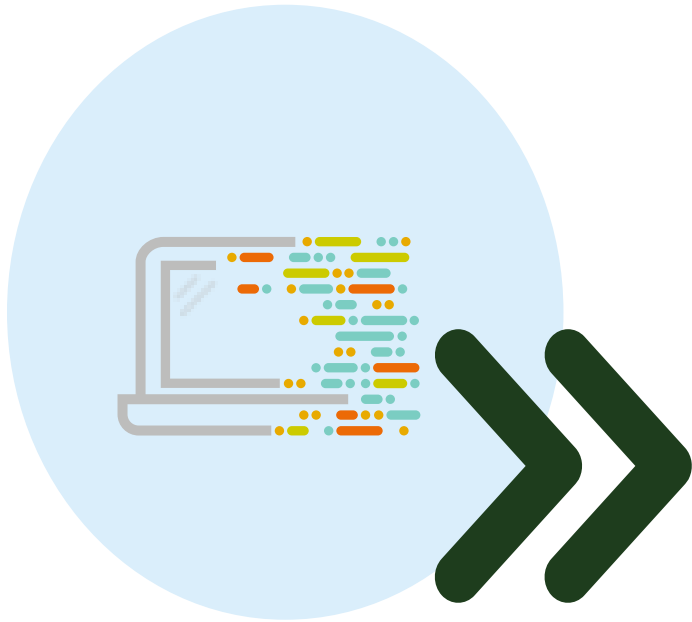
Monitoring Payments and Spending at Risk

Brandon Strickland
Executive Director
Research Administration

Monitoring Payments and Spending at Risk

» Department staff and PIs should monitor any payment issues of which they have become aware (typically notified when Post-Award initiates collections efforts) and minimize spending until such issues are resolved.

» In accordance with UM's Invoicing & Financial Reporting Policy, PIs must also notify ORA immediately if a sponsor has not accepted a deliverable and will not authorize the release of funds to satisfy a deliverable-based invoice.

» If spending at risk—with or without an approved advance account—and notified by ORA of significant contractual negotiation challenges, Department staff and PIs should minimize spending until contractual issues are resolved.

Salary Cap Automation

Lionel Vera
Executive Director
Research Administration

Salary Cap Automation

Current Allocation for Salary Over the Cap

Effective Tuesday, May 30th

Functionality not applicable to PAA's

Effort

Kimberly Croft
Executive Director
Research Administration

Effort

- Next certification period
  - July 10 – September 8
  - ECC Training

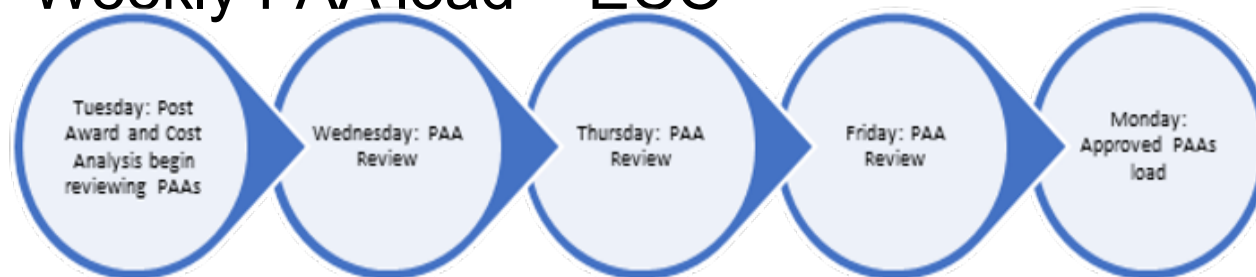| June 21, 2023 10:00 AM – 12:00 PM |
| June 23, 2023 1:30 PM – 3:30 PM |
| June 28, 2023 10:00 AM – 12:00 PM |
| June 30, 2023 1:30 PM – 3:30 PM |
| July 19, 2023 10:00 AM – 12:00 PM |
| July 21, 2023 1:30 PM – 3:30 PM |
| July 24, 2023 10:00 AM – 12:00 PM |

Effort

- Cross company PAA's

|	
Academy MSOM Combination	User will get a warning advising of the company/cost center mismatch
Academy UHealth Combination	User will get a hard stop due to the company/cost center mismatch
MSOM UHealth Combination	User will get a hard stop due to the company/cost center mismatch

- Weekly PAA load – ECC

Tuesday: Post Award and Cost Analysis begin reviewing PAAs → Wednesday: PAA Review → Thursday: PAA Review → Friday: PAA Review → Monday: Approved PAAs load

- Change in guidelines for approving PAA's for prior certification period
  - 2 weeks

Cost Analysis Update

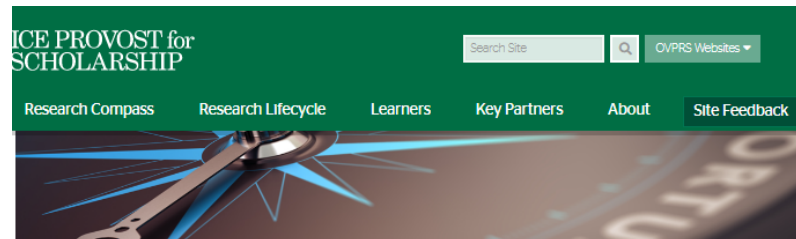| Area	Point of Contact
Service Centers	Kris Roth and Lydia Zantout
ClinCard	Kris Roth and Lydia Zantout
Effort	Gloria Gari
iLab	Kris Roth
F&A	Kris Roth
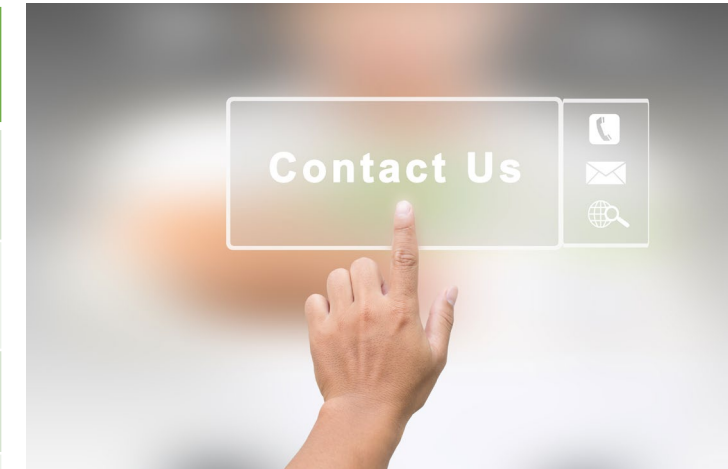
Corporate & Foundation Relations Grant Opportunity Database

Shane Karas

Director, Corporate & Foundation Relations Principal Giving

Corporate & Foundation Relations Grant Opportunity Database

https://www.research.miami.edu/lifecycle/identify-opportunities/index.html

Corporate & Foundation Relations Grant Opportunity Database

The Corporate and Foundation Grant Opportunity database presents funding opportunities for graduate students, medical students, postdoctoral fellows and faculty members.

Both recorded and written detailed tutorials are available to help you navigate the database.
Although the database works broadly like Excel, we recommend looking through either or both of these tutorials to more fully understand column definitions – and to learn tips and tricks to take advantage of Airtable's extensive functionality, including sorting, searching, and filtering.

The Office of Foundation Relations is here to support faculty and staff in preparing LOIs and grant proposals.
We encourage you to contact us before applying to any private foundation so that we can update you on the current status of the University's relationship with the foundation.

Please include the ID number for the opportunity when reaching out.

For some specific foundations, we require notification prior to any contact. There may be limitations on the number of applications that may be submitted, particular donor preferences, current presidential interest, etc. Please see the list of centrally managed foundations below.

Corporate & Foundation Relations Grant Opportunity Database

Live Database

□	ID ...	Deadline	Deadline Status	Sponsor	Opportunity Name	Maxim...	Maximum...	Description	Career Level	Find in view
1	312	2/1/2023	Confirmed	American Cancer Society (ACS)	Research Professor Award	$400,000.00	5 years	ACS Research Professor and Clinical Research Professor awards are primarily honorific awards for individuals that have made seminal contributions in cancer research. The unrestricted awards provide unique research opportunities to foster creativity and innovation in cancer research. Research Professor awards provide flexible funding for ...	Middle Established	https://www.cancer.org/research/we-fund-cancer-research/apply-research-grant/grant-... — Cancer Oncology Medicine Medical Research
2	436	2/1/2023	Confirmed	PhRMA Foundation	Faculty Starter Grant in Value Assessment-Health Outcomes Research	$100,000.00	1 year	The PhRMA Foundation Faculty Starter Grant in Value Assessment-Health Outcomes Research (VA-HOR) offers financial support to individuals beginning independent research careers in VA-HOR at the faculty level (within first five years of independent status). ...	Early	https://www.phrmafoundation.org/awards/research-starter-grants/health-outcomes-informatics/ — Medicine Medical Research
3	446	2/1/2023	Confirmed	Hereditary Disease Foundation	Research Grants	$75,000.00	1 year	Grants provide more experienced researchers with the seed funding to enable them to collect the preliminary data needed to obtain major, long-term funding from other organizations, including the National Institutes of Health. The Hereditary Disease Foundation awards one-year grants of $75,000....	Middle Established	https://www.hdfoundation.org/apply-for-funding-2023 — Hereditary Diseases Genetic Diseases Genetics Medicine Medical Research
4	488	2/1/2023	Confirmed	Camille & Henry Dreyfus Foundation	Camille Dreyfus Teacher-Scholar Awards Program	$100,000.00	5 years	The Camille Dreyfus Teacher-Scholar Awards Program supports the research and teaching careers of talented young faculty in the chemical sciences. Based on institutional nominations, the program provides discretionary funding to faculty at an early stage in their careers. Criteria for selection include an independent bod...	Institutional Early	https://www.dreyfus.org/camille-dreyfus-teacher-scholar/ — Chemistry Science Arts & Sciences
5	531	2/1/2023	Confirmed	Sjögren's Syndrome Foundation Resear...	The Sjögren's Foundation High Impact Research Award	$75,000.00	1 year	The Sjögren's Foundation Research Grants Program places a high priority on both clinical and basic scientific research into the cause, prevention, detection, treatment, and cure for Sjögren's, with opportunities open to both junior and senior-level investigators. This award supports more fully developed research proposals, which should already have...	Undefined	https://www.sjogrens.org/researchers-providers/research-grants — Sjögren's Immune System Immune System Disorders Medicine Medical Research
6	322	2/1/2023	Confirmed	American Association for Laboratory A...	Standard Grant for Laboratory Animal Science	$50,000.00	1 year	Grant for enhancement of scientific knowledge in laboratory animal science through research. Examples of research interest are: environmental conditions; housing and enrichment; pain and distress; health and welfare; euthanasia; and, advancements in animal care and use.	Undefined	https://aalas.submittable.com/submit — Medicine Medical Research Animal Science Laboratory Animal Scienc

179 records · um 117494 — $56,671,046.00

Spring 2024 Research Community Forum

Kenia Viamonte

Director, Human Subject Research

Spring 2024 Research Community Forum

April 10 and 11, 2024

- The 2-day in-person Research Community Forum was co-sponsored with Office of Human Research Protections.

- It is a great branding, educational & networking opportunity for our institution.

- More information will follow in the coming months.

Questions